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~~OPTICAL INFORMATION RECORDING AND REPRODUCING APPARATUS~~

## BACKGROUND OF THE INVENTION

## (1. Field of the Invention)

5           The present invention relates to an optical information recording and reproducing apparatus for recording information bits in a three-dimensional arrangement, and in particular, to the apparatus capable of recording information bits with good performance.

## 10       (2. Related Art)

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          A conventional optical information recording/reproducing apparatus which records information bits in a three-dimensional arrangement is disclosed in Japanese Patent Laid-Open Publication No. 6-28672. The

15       conventional optical information recording/reproducing apparatus is shown in Fig. 8. In the information recording method employed in this apparatus, a light beam 102 emitted from a light source 101 is incident to an information recording medium 111 formed of a material of a member ("a

20       recording member") to be recorded with information bits, such as a photopolymer, having a thickness larger than the wavelength of the light beam by means of an objective lens 114 (a converging light beam 107), and thus a converging point is recorded as an information bit 105. The

25       information bit results from change in refractive index or

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the like, and the size of which is generally larger than the wavelength of the light beam in any of the x, y and z directions.

Furthermore, it is possible to reproduce a signal by converging a light beam with low power on this information bit 105 similarly and detecting the converging light beam 107 at a light detector 110 via the objective lens 114 and a beam splitter 113. In order to increase the information capacity, as shown in the same drawing, information bits 105 are recorded in the information recording medium 111 in a three-dimensional arrangement in the direction of optical axis (z direction) of the objective lens 114.

The inventors of the present invention found that in the conventional optical information recording/reproducing apparatus which records information bits in a three-dimensional arrangement, however, during recording of information, when the converging light beam 107 from the objective lens passes through information bits 116 that have been already recorded, an aberration occurs in the converging light beam 107 under the influence of the information bits 116, which renders the problem that the light beam becomes difficult to converge to the focus point and the information bit 105 that is to be recorded become blurred. It is considered that the information bits 116

*Sub A3* The present invention is directed to solve the aforementioned problems in the prior art, and has purpose to provide an optical information recording and reproducing apparatus for recording information bits in a three-dimensional arrangement, and particularly to an optical information recording and reproducing apparatus capable of recording information bits in good condition.

In the first aspect of the invention, an optical information recording and reproducing apparatus for recording information bits into an information recording medium having a recording member into which information bits are recorded in a three-dimensional arrangement by changing an optical constant of the recording member of the recording medium, comprises a light source that emits a light beam, an objective lens that converges the light beam emitted from the light source to an information recording

medium, and a light detector that detects the light beam from the information recording medium. A thickness of the recording member is larger than a wavelength of the light beam. The information bits are sequentially recorded into the recording member in a three-dimensional arrangement such that a converging light beam from the objective lens does not pass through information bits that have already been recorded.

In the apparatus, the information bits may be sequentially recorded from the farthest points from the objective lens in the recording member.

Furthermore, the objective lens may comprise a lens of which numerical aperture is more than or equal to 0.7. Pinholes may be disposed in an optical path including the objective lens and the light detector. Thus the light beam from the information recording medium is detected by the light detector after passing through the pinholes.

Furthermore, the apparatus may comprise a focus/track error signal detecting optical element which is an optical splitting element and disposed in an optical path including the objective lens and the light detector, and a pinhole array having a plurality of pin holes and disposed in the optical path. In this case, the light beam from the information recording medium is split into a plurality of light beams by means of the focus/track error

signal detecting optical element, and the plurality of light beams are detected by the light detector after passing through corresponding pinholes of the pinhole array.

Furthermore, the apparatus may comprise a focus/track error signal detecting optical element disposed in an optical including the objective lens and the light detector. The light beam from the information recording medium is split into a plurality of light beams by means of the focus/track error signal detecting optical element, and each split light beam is detected by the light detector having an area smaller than that of the split light beam.

Furthermore the apparatus may further comprise a focus/track error signal detecting optical element disposed in an optical path including the objective lens and the light detector, and a pinhole array having a plural pinholes and disposed on the optical path. In this case, the light beam from the information recording medium is split into a plurality of light beams by means of the focus/track error signal detecting optical element, and the light beams corresponding to track error signals are detected by the light detector after passing through pinholes of the pinhole array.

The wavelength  $\lambda$  of the light beam emitted from the light source substantially may be in a range satisfying  $0.35\mu\text{m} \leq \lambda \leq 0.45\mu\text{m}$ , and the optical system including the

Furthermore, the apparatus may further comprise a spherical aberration correcting element disposed in an optical path including the light source and the objective lens. The spherical aberration correcting element controls an amount of spherical aberration in accordance with a recording depth of the information bits to be recorded into the recording member.

*DNB MS* The information recording medium may comprises only a single recording member. Alternately, the information recording medium may comprise a recording member and a substrate. Alternately, the information recording medium may be configured by a recording member sandwiched between a substrate and a protecting member.

The apparatus may further comprise a condenser lens disposed on one side of the information recording medium opposite to the objective lens. The condenser lens converges the light beam from the information recording medium to the light detector to detect the light beam.

A focus position of the objective lens may be

controlled with reference to an interface of the recording member of the recording medium.

In a second aspect of the invention, an optical information recording and reproducing apparatus for recording information bits into an information recording medium having a recording member into which information bits are recorded in a three-dimensional arrangement by changing a refractive index of the recording member of the recording medium, comprises a light source that emits a light beam, an objective lens that converges the light beam emitted from the light source to an information recording medium, and a light detector that detects a light beam from the information recording medium. A thickness of the recording member is larger than a wavelength of the light beam. Amount of the change in refractive index is less than or equal to 0.02. The information bits are recorded sequentially into the recording member in a three-dimensional arrangement such that the number of rows of information bits which have been already recorded in the direction of optical axis is not more than 4.

#### BRIEF DESCRIPTION OF THE DRAWINGS

Fig. 1 is a side view showing a basic configuration of an optical head of an optical information recording and reproducing apparatus (recording/reproducing

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apparatus) in the first embodiment of the present invention,  
in which light transmission path is also shown.

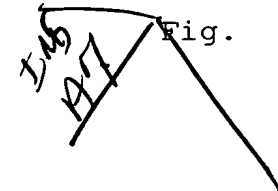
Fig. 2 is a diagram showing a manner of recording  
a signal on an information recording medium by the  
5 recording/reproducing apparatus of the first embodiment of  
the present invention.

Figs. 3A to 3C are diagrams of light beam trace  
for various magnitudes of refractive index of information  
bit to be recorded on the information recording medium by  
10 the recording/reproducing apparatus of the first embodiment  
of the present invention.

Figs. 4A to 4D are diagrams of light beam trace  
for various magnitudes of refractive index of information  
bit to be recorded on the information recording medium by  
15 the recording/reproducing apparatus of the first embodiment  
of the present invention.

Fig. 5 is a diagram showing a manner of recording  
a signal on an information recording medium with another  
configuration by the recording/reproducing apparatus of the  
20 first embodiment of the present invention.

Fig. 6 is a diagram showing the manner of  
recording a signal on an information recording medium by  
the optical information recording/reproducing apparatus of  
the second embodiment of the present invention.

25  Fig. 7 is a side view showing a basic



configuration of an optical head of an optical information recording/reproducing apparatus in the third embodiment of the present invention, in which light transmission path is also shown.

5 Fig. 8 is a view showing an optical information recording/reproducing apparatus of the prior art.

#### DETAILED DESCRIPTION OF THE INVENTION

(First Embodiment)

10 First, an optical information recording/reproducing apparatus of the first embodiment of the present invention will be described in detail with reference to Figs. 1 to 5, taking coordinate axes as shown in the drawings.

15 Fig. 1 is a side view showing a basic configuration of an optical head of an optical information recording/reproducing apparatus in the first embodiment of the present invention, in which light transmission path is also shown. Fig. 2 is a diagram showing a manner of  
 20 recording a signal on an information recording medium by the recording/reproducing apparatus of this embodiment. Figs. 3A to 3C and 4A to 4D are diagrams of light beam trace for various magnitudes of refractive index of  
 25 information bit to be recorded on the information recording medium by the recording/reproducing apparatus of this

embodiment. Fig. 5 is a diagram showing a manner of recording a signal on an information recording medium with another configuration by the recording/reproducing apparatus of this embodiment.

5 As shown in Fig. 1, with regard to an optical head of the optical information recording/reproducing apparatus of the present invention, a beam splitter 13, a collimator lens 3, a focus/track error signal detecting optical element 8, a mirror 12, a spherical aberration correcting element 9, and an objective lens 4 (comprising combination lens 4a and 4b) are disposed in an optical path from a light source 1 to an information recording medium 11. The light source 1 is a semiconductor laser light source which emits a laser beam having a wavelength of e.g. 0.405  $\mu\text{m}$ , and a laser beam 2 emitted from the light source 1 in the y direction becomes a substantially parallel beam 6 by means of the collimator lens 3 and passes through the focus/track error signal detecting optical element 8 which is diffractive (using first-order diffracted light), and thereafter the optical path is bent in the z direction by means of the mirror 12. Then, the laser beam 6 bent in the z direction passes through the spherical aberration correcting element 9 to be converged onto the information recording medium 11 (a converging light beam 7) by means of the objective lens 4.

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The laser beam 7 reflected by the information recording medium 11 turns to the opposite direction and passes through sequentially the objective lens 4, the spherical aberration correcting element 9 and the mirror 12 to be split into a plurality of light beams by means of the diffractive focus/track error signal detecting optical element 8 (using zero-order diffracted light, it is noted that the split light beams are omitted in Fig. 1 for simplification in the optical path from the focus/track error signal detecting optical element 8 to the beam splitter 13). Thereafter, the split light beams are rendered to converging light beams by means of the collimator lens 3 and further deflected in the z direction by means of the beam splitter 13. The resultant plurality of split light beams 17a to 17c pass through respective pinholes 14a to 14c provided on a pinhole array 14, and signals of which are detected by an array of light detectors 10a, 10b and 10c. From these signals, the reproduction signal, and the focus error signal and the track error signal which are servo signals are read out. The signal recorded on the recording medium 11 is read out from the reproduction signal.

A plurality of light beams split by the focus/track error signal detecting optical element 8 which is an optical splitting element are detected by the light

detector 10a, 10b and 10c, and thereby the focus error signal and the track error signal are generated as well as the reproduction signal. Based on each of error signals, it is determined whether the focus position (position in z direction) or the track position (position in x or y direction) of the objective lens 4 to the recording medium 11 is optimum. If deviating from the optimum position, the objective lens 4 is moved to the optimum position by an actuator (not shown) mounted on the objective lens 4.

At that time, an interface of the recording member 11b of the recording medium 11 may be used as the reference position for controlling the focus, and thus the focus position of the objective lens 4 can be controlled accurately. The interface of the recording member 11b may be a boundary face between the substrate 11a and the recording member 11b, or a surface of the recording member 11b facing the objective lens 4.

The pinhole array 14 is located so that the pinholes are positioned at approximately focus points of the split light beams 17, however separate pinholes may be located in the positions corresponding to the focus points of the blanch light beams 17. By making the sizes of the pinholes smaller than the respective converging light beams 17, only the light in the center of each converging light beam 17 can be detected. Thus, it is possible to remove

unnecessary high-order aberration light distributed in the vicinity of the blanché light beam 17 and to improve not only the S/N of a reproduction signal but also the S/N of an error signal of the servo control. Although in this case the amount of light decreases as a result of deleting the light in the periphery of the blanché light beam 17, using an APD (avalanche photodiode) can increase the signal intensity. With regard to an apparatus for recording/reproducing bit information in a three-dimensional arrangement, use of the APD is effective since the amount of detected light cannot be so large because of the limitation in material.

Furthermore, the same effect can be obtained when the aforementioned split light beams 17 are detected by the light detectors 10 with smaller areas than the respective split light beams 17 in place of the pinhole array 14. Furthermore, only the split light beams 17b and 17c corresponding to a track error signal may be passed through the pinholes 14b and 14c of the pinhole array 14 so as to be detected by the light detectors 10b and 10c, while the split light beam 17a corresponding to a focus error signal may be directly detected by, for example, the optical detector 10a having four divided parts, without passing through the pinhole. With such an arrangement, an astigmatism method, for example, can be used as a method

for detecting a focus. Furthermore, in this case, making the area of the light detector 10a smaller than the cross section area of the split light beam 17a at the detection point allows high-order aberration to be reduced.

5 In the present embodiment, the objective lens 4 is configured by a pair of two lens 4a and 4b, and has a high numerical aperture NA more than 0.7 (for example, 0.85). The high numerical aperture is advantageous to improving recording density on the plane (xy-plane) and  
10 reducing an interval of bits 5 in z direction to increase recording density. Furthermore, as the information recording medium 11, a substrate 11a having a thickness, e.g., of 600  $\mu\text{m}$  is used in combination with a recording member 11b having a thickness which is larger than the  
15 wavelength, e.g. several tens to several hundreds  $\mu\text{m}$ . Though the substrate is formed, e.g. of polycarbonate, resins such as PMMA, glass and the like are also useful. Furthermore, as the recording member 11b, those having optical constants such as refractive index which vary in  
20 accordance with the light intensity are used. For example, photo refractive crystals such as photopolymers, organic pigments and  $\text{LiNbO}_3$ , multiphoton absorbing materials such as heavy chromic gelatin and diallylethene, and the like are useful. In the present embodiment, for example, a  
25 photopolymer is used of which refractive index rises in

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response to light irradiation,

Furthermore, as shown in Fig. 2, though the surface of the recording member 11b formed on the substrate 11a is in an exposed state because no protecting layer is formed thereon, the recording member 11b is sufficiently thicker than the wavelength so that the surface thereof can be used as a protecting layer. This simple configuration can reduce the cost. Furthermore, as shown in Fig. 5, when the recording member 11b is further combined with another protecting medium like a resin film having a thickness of several tens to several hundreds  $\mu\text{m}$  to form a sandwich structure, environment-resistance and surface accuracy of the information recording medium 11 can be further improved.

*11b*  
*A10*  
As shown in Fig. 2, by irradiating the recording member 11b of the information recording medium 11 with the converging light beam 7, the refractive index of the focal point increases, thereby an information bit 5 is recorded (in Fig. 2, only already-recorded information bits are represented by gray circles). In the present embodiment, however, the converging light beam 7 of the objective lens 4 records sequentially information bits in a three-dimensional arrangement in the recording member 11b such that the converging light beam 7 do not pass through the already-recorded information bits.

Concretely, the aforementioned recording can be

realized by recording sequentially the information bits from locations in the recording member 11b farthest from the objective lens 4. In the configuration of Fig. 2, recording may be conducted in a three-dimensional arrangement in the -Z direction in the order of the row of 15a, the row of 15b and the row of 15c. At this time, the thickness of the recording member 11b through which the converging light beam 7 passes varies with the recording depth. Therefore, it is possible to form the information bits 5 preferably by recording the information bits while controlling the spherical aberration amount by means of the spherical aberration correcting element 9 provided in the optical path between the light source 1 and the objective lens 4 in accordance with the recording depth of the information bit 5 to be recorded into the recording member 11b. The spherical aberration correcting element 9 can be configured by a liquid crystal element of which distribution of refractive index is variable and a beam expander of which optical axis length is variable by an actuator.

Furthermore, in case that data is recorded in an unrecorded portion in which information bits have not been recorded yet, the direction of recording order to suppress the amount of aberration may not be usually in the -z axial direction insofar when the converging light beam 7 does not



pass through the already-recorded information bits.

Next, relationship between magnitude of refractive index of an information bit to be recorded into the recording member 11b of the information recording medium 11 and degree of occurrence of aberration of the converging light beam 7 will be described. The description will be made assuming the case where, for example, four rows 15b to 15e of information bits 5 have been recorded in the z direction and in front of the information bit 15a to be recorded or read, as shown in Figs. 3A to 3C. Fig. 3A shows the case in which the change amount of refractive index  $\Delta n$  of a refracting sphere which is to be an information bit is zero (it corresponds to unrecorded state), and the converging light beam 7 is desirably converged. To the contrary, as shown in Figs. 3B and 3C and Figs. 4A to 4D, as the magnitude of the change amount  $\Delta n$  of the refracting sphere increases, the spread of the light beam at the focus point increases. The spread of the light beam at the focal point results in a defocus, which requires recording power at the recording and increases the size of the recording information bits, so that the recording characteristic is deteriorated. At the reproduction, the S/N of the reproduction signal tends to be lowered to deteriorate the quality. However, the deterioration of the production signal can be prevented by

a configuration as described above which removes high-order aberration by pinholes provided in front of the light detectors.

As described above, the inventors of the present invention have found that, by recording sequentially the information bits into the recording member 11b in a three-dimensional arrangement in such an order that the converging light beam 7 of the objective lens 4 does not pass through the already-recorded information bits, the phenomenon of defocus does not occur and an excellent recording can be obtained. The inventors also have found that the defocus in the recording is within a allowable range under conditions in which the change amount  $\Delta n$  in refractive index of the refracting sphere 5 representing an information bit is less than or equal to 0.02 and in which the information bits are sequentially recorded into the recording member 11b in a three-dimensional arrangement such that the number of rows of information bits which have been already recorded and through which the converging light beam 7 from the objective lens 4 passes is not more than 4 in the direction of optical axis (z direction). This can be explained by the fact that, at  $\Delta n = 0.02$  in Fig. 4A, the light beam at the focus point does not pass through the neighboring refracting spheres.

Furthermore, as the magnitude of  $\Delta n$  decreases,

occurrence of aberration is suppressed, whereas the detection light amount is reduced. The inventors of the present invention have found that if  $\Delta n$  is more than or equal to 0.005, the S/N substantially falls in the range that will not cause a problem. Also in this case, the light detector is preferably an APD.

(Second Embodiment)

Next, an optical information recording/reproducing apparatus of the second embodiment of the present invention will be described with reference to Fig. 6, mainly for points different from those of the above described first embodiment. Fig. 6 is an explanatory view showing a manner of recording a signal on an information recording medium by the optical information recording/reproducing apparatus of the second embodiment of the present invention.

In the optical information recording/reproducing apparatus of the present embodiment, wavelength  $\lambda$  emitted from the light source substantially satisfies a range  $0.35\mu\text{m} \leq \lambda \leq 0.45\mu\text{m}$ , and the optical system including the objective lens 4 is configured to be achromatic. In particular, since the dispersion of materials of the optical system tends to be large in the wavelength range, the achromatic configuration can provide desirable optical characteristics. In the present embodiment, the objective

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which is disposed on one side of the information recording medium 11 opposite to the objective lens 4, and is detected by the light detector 10 via the focus/track error signal detecting optical element 8 and the pinhole array 14.

5                   With the configuration of the transmission type, the converging light beam 7 passes through the recording member 11b only once (twice in the case of the recording/reproducing apparatus of the reflection type according to the first embodiment), so that occurrence of aberration from the already-recorded information bits is about half of the case adopting reflection detection. Hence the quality of reading the signal can be improved compared to the optical information recording/reproducing apparatus of the reflection type according to the first  
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15 embodiment.

While the optical information recording/reproducing apparatuses according to the first to third embodiments of the present invention have been explained, it is to be understood that the present  
20 invention is not limited to these embodiments, and optical information recording/reproducing apparatuses in which configurations of the respective optical information recording/reproducing apparatuses are combined also involved in the present invention, which provide the same  
25 effect. Furthermore, the optical information recording

apparatus also includes a re-writable type apparatus in addition to a recordable type apparatus. Also, the objective lens and the collimator lens used in the above description are referred to for convenience, and are  
5 synonymous with general lens.

Furthermore, in the above embodiments, the explanation was made while taking the optical disc as an example, however, applying to products in the forms of card, drum and tape which are designed to be capable of reproducing a plurality of mediums having different specifications such as thickness and recording density by the similar information recording/reproducing apparatus is also within the scope of the invention.  
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As described above, the present invention relates to an optical information recording/reproducing apparatus for recording information bits in a three-dimensional arrangement which does not apply a converging light beam from the objective lens to already-recorded information bits, and thus an optical information recording/reproducing  
15 apparatus capable of desirably recording can be achieved.  
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Although the present invention has been described in connection with specified embodiments thereof, many other modifications, corrections and applications are apparent to those skilled in the art. Therefore, the  
25 present invention is not limited by the disclosure provided

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herein but limited only to the scope of the appended claims.

It is noted that this application is based on application No. 2000-354486 filed in Japan, the contents of which is herein incorporated by reference.

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